

Standards Catalogue



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CHANGE HISTORY

DATE	VERSION	STATUS
22 04 2013	1.0	First issue of new document.
23 04 2013	1.1	Corrected references in description for catalogue entry 'Contract Enquiry Wrap Valuation'.
18 06 2013	1.2	Removal of FBS Standards for Pre-pop data patterns.
21 02 2014	1.3	Addition of new Pre-Pop data patterns.
09 05 2014	1.4	Updated for new versions of Contract Enquiry Single & Multi Wrap Valuation Standards.
26 05 2014	1.5	Addition of split Auto Enrolment Standards.
19 08 2015	1.6	Updated Quotes and New Business Versions for Annuities, Individual Pensions, Group Pensions and Protection.
15 09 2015	1.7	Updated to include new version of FIT, Maintain Generic Data, Maintain Personal Fact Find, Receive External Alert, Retrieve Documentation and Extranet Linking.
10 12 2015	1.8	Addition of Provide Transaction History Standard.
31 03 2016	1.9	Updated for new versions of Contract Enquiry Single & Multi Pensions and Wrap Valuation Standards. Support for Quotes/New Business SIPP/IDPR discontinued as agreed by Criterion's Process and Standards Group (PSG). Also indicated whether or not a version of the Standard supports the use of W3C XML Schema Assertions.
31 01 2017	1.10	Addition of Provide Investment Switch and Redirect Notification List Standard.
07 06 2017	1.11	Updated for new versions of Flexible Integration Toolkit (FIT), Maintain Generic Data and Maintain Personal Fact Find. Updated for new versions of Quotes & New Business Protection. Updated to include Legal Framework modules for Adviser Not Present and Auto Enrolment.

DATE	VERSION	STATUS
		<p>Updated to remove versions of Standards that are no longer supported i.e.</p> <ul style="list-style-type: none"> • v3.6 Quotes and NB Protection; • v1.0 FIT; • v1.0 Generic Data / Maintain Generic Data; • v1.0 Maintain Personal Fact Find / Personal Fact Find; • v1.0/v1.1 Receive External Alert. <p>Removed the versioning of individual FIT patterns and replaced it with a reference to the FIT Overview document which covers pattern versioning.</p>
03 04 2018	1.12	<p>Updated with new versions of Standards created since last publication of this document:</p> <ul style="list-style-type: none"> • New Business Annuities v2.2; • Quotes Annuities v3.9; • HTTP MTG v2.2; • Remote Publishing v3.1; • Legal Framework v4 (GDPR); • CE Txn History v2.2 (Bonds, WOL, Endowment, Pensions). <p>Updated to remove versions of Standards that are no longer supported:</p> <ul style="list-style-type: none"> • New Business Annuities v1.0; • Quotes Annuities v3.6; • Legal Framework v1.
19 06 2018	1.13	<p>Updated with new versions of Contract Enquiry Valuation Standards created since the last publication of this document:</p> <ul style="list-style-type: none"> • Endowment v2.2 • Whole of Life v2.2
21 12 2018	1.14	<p>Added the following</p> <ul style="list-style-type: none"> • CE Bulk Valuations v1.0 • CE Bonds v2.2 • CE Collective Investments v1.4 • CE Txn Hist Bonds v2.3 • CE Txn Hist Endowment v2.3 • CE Txn Hist Pensions v2.3 • CE Txn Hist WOL Protection v2.3 • Maintain Pub/Sub v1.0
11 01 2019	1.15	<p>Added the following</p> <ul style="list-style-type: none"> • CE Wraps v1.3 • ProvideContractValuation v1.3

DATE	VERSION	STATUS
18 04 2019	1.16	<p>Added CE Pensions v2.4.</p> <p>Replaced CE Single Pensions v2.2 and CE Multi Pensions v2.2 by CE Pensions v2.2.</p> <p>Replaced CE Single Pensions v2.3 and CE Multi Pensions v2.3 by CE Pensions v2.3.</p> <p>Updated to remove versions of Standards that are no longer supported:</p> <ul style="list-style-type: none"> • CE Single Pensions v2.1 • CE Pensions v2.0
14 10 2020	1.17	<p>Added the following</p> <ul style="list-style-type: none"> • CE Bulk Transaction History v1.0 • FIT v3.2 • Maintain Personal Fact Find v3.2 • Maintain Generic Data v2.3 • New Business Annuities v2.3 • New Business Bonds v3.8 • New Business Collective Investments v3.8 • New Business Endowments v3.8 • New Business Group Pensions v3.9 • New Business Individual Pensions v3.9 • New Business Protection v3.10 • Provide Investment Switch and Redirect Notification List v1.1 • Provide New Business Details Confirmation v1.0 • Quotes Annuities v3.10 • Quotes Bonds v3.8 • Quotes Endowments v3.8 • Quotes Group Pensions v3.9 • Quotes Individual Pensions v3.9 • Quotes Protection v3.10

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1 INTRODUCTION

This document contains a complete list of Criterion Standards available in the Standards Library.

For each Standard the following information is provided (where appropriate):

Name – The name of the Standard as listed in the Standards Library.

Format – The message format used by the Standard.

Version – The unique version of the Standard, expressed as a 'major', 'minor' and 'minor point' version number.

For example, a Standard of Version 2.3.1, would be:

- Major Version 2. A new Major Version of a Standard need not be backwardly compatible with previous versions;
- Minor Version 3. This is a point release and is backwardly compatible with the previous version;
- Minor Point 1. This is a version containing legislative Errata, which implements an essential fix to a Standard driven by legislative change. The minor point is only included in the version number where necessary.

Date - The date this version of the Standard was published.

FBS – Indicates if the Standard used the Foundation Business Services (FBS) approach.

Sample – Identifies if there are sample messages available for this version of the Standard.

MIG – For XML Standards, indicates if the Message Implementation Guidelines (MIGs) have been Auto generated from the Schema, or have been manually created (Auto or Traditional).

Description – A brief description of the Standard.

NOTE - In 2017 the concept of Provisional Standards was introduced which allow changes to be applied and delivered more quickly and before they are accepted in their finalised state by the Governance Groups. This allows implementers to get early sight of deliverables with the changes they require but does come with the warning that further changes may follow. Provisional Standards will appear alongside finalised Standards in the Standards Library on the website. As Provisional Standards are introduced much more frequently than Finalised Standards they are not recorded in this document.

2. STANDARDS CATALOGUE

This table shows the Standards currently available on the Criterion Standards website, and some key information relating to each.

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
BUSINESS PROCESS SPECIFIC STANDARDS							
Agency Codes Population	XML	1.0	Jun 2001	No	No	Trad	Provides a standard mechanism for populating Back Office and Service Provider systems with Product Provider agency codes. Note: this Standard is no longer available on the Standards website, as it is no longer officially supported by Criterion.
Bulk Data Download	CSV	1.1	May 1999	No	Yes	CSV	A consistent and common format for a Product Provider to send summarised policy data following a request from a Trading Partner. Note: this document is not available on the Standards website, as it is not officially supported by Criterion.
Commission (EDIFACT)	EDI	1.1 2.0	May 1999 Jun 2004	No No	Yes Yes	EDI EDI	Sent by a Product Provider and contains a breakdown of commission payments made to an Adviser firm. Includes RDR Guidelines suggesting how Adviser and Consultancy charges may be incorporated into the original Standard.
Commission Transfer (XML)	XML	1.0.1	Mar 2008	No	No	Trad	Sent by a Product Provider and contains a breakdown of commission payments made to an Adviser firm.
Contract Enquiry Bulk Valuations	XML	1.0	Oct 2018	Yes	Yes	Auto	Enables the provision of regular, 'bulk' valuation data from Providers for all product types with an investment element.
Contract Enquiry Bulk Transaction History	XML	1.0	Apr 2020	Yes	Yes	Auto	Enables the provision of regular, 'bulk' transaction history data from Providers for all product types with an investment element.

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Contract Enquiry Bonds Valuation	XML	2.0	Feb 2004	No	No	Trad	Standard mechanism for Advisers to request valuation information on existing Bond contracts from a Product Provider. Can be for single or multiple contracts, for contract references only, or for contract details.
		2.1	Oct 2009	No	No	Trad	
		2.2	Oct 2018	No	No	Auto	
Contract Enquiry Collective Investment Valuation	XML	1.2.1	Mar 2008	No	No	Trad	Standard mechanism for Advisers to request reconciliation or valuation information on existing Collective Investment contracts from a Product Provider.
		1.3	Oct 2009	No	No	Trad	
		1.4	Oct 2018	No	No	Auto	
Contract Enquiry Endowment Single & Multi Valuation	XML	2.0	Jul 2005	No	No	Auto	Standard mechanism for Advisers to request valuation information on existing Endowment contracts from a Product Provider. Can be for single or multiple contracts, for contract references only, or for contract details.
		2.1	Oct 2009	No	No	Auto	
		2.2	Jun 2018	No	No	Auto	
Contract Enquiry Pensions Valuation	XML	2.2	Oct 2009	No	No	Auto	Standard mechanism for Advisers to request valuation information on existing Pensions contracts from a Product Provider. Can be for single or multiple contracts, for contract references only, or for contract details.
		2.3	Feb 2016	No	Yes	Auto	
		2.4	Apr 2019	No	Yes	Auto	
Contract Enquiry Transaction History Bond	XML	2.1	Mar 2007	No	Yes	Auto	Provides detailed information about Unit Movements within a Bonds contract. Contains a 'Money In' section which is intended to "explicitly" indicate which transactions are money paid in to the contract, such as Contributions and Reinvested Distributions, and a 'Money Out' section to "explicitly" indicate which transactions are money paid out of the contract, such as Partial Surrenders, Distributions and Regular Withdrawals. Fund Switches, Unit Alterations, Encashments and Plan Bank Account Transactions can also be provided.
		2.2	Dec 2012	No	Yes	Auto	
		2.3	Sep 2018	No	Yes	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Contract Enquiry Transaction History Endowment	XML	2.1	Mar 2007	No	Yes	Auto	Provides detailed information about Unit Movements within an Endowment contract. Contains a 'Money In' section which is intended to "explicitly" indicate which transactions are money paid in to the contract, such as Contributions, and a 'Money Out' section to "explicitly" indicate which transactions are money paid out of the contract, such as Partial Surrenders. Fund Switches, Unit Alterations, Encashments and Plan Bank Account Transactions can also be provided.
		2.2	Dec 2012	No	Yes	Auto	
		2.3	Sep 2018	No	Yes	Auto	
Contract Enquiry Transaction History Pension	XML	2.1	Mar 2007	No	Yes	Auto	Provides detailed information about Unit Movements within a Pensions contract. Contains a 'Money In' section which is intended to "explicitly" indicate which transactions are money paid in to the contract, such as Contributions, State Pensions Rebates and Transfers in and a 'Money Out' section to "explicitly" indicate which transactions are money paid out of the contract. Fund Switches, Unit Alterations, Encashments and Plan Bank Account Transactions can also be provided.
		2.2	Dec 2012	No	Yes	Auto	
		2.3	Sep 2018	No	Yes	Auto	
Contract Enquiry Transaction History WOL Protection	XML	2.1	Mar 2007	No	Yes	Auto	Provides detailed information about Unit Movements within a Protection contract. Contains a 'Money In' section which is intended to "explicitly" indicate which transactions are money paid in to the contract, such as Contributions, and a 'Money Out' section to "explicitly" indicate which transactions are money paid out of the contract, such as Partial Surrenders. Fund Switches, Unit Alterations, Encashments and Plan Bank Account Transactions can also be provided.
		2.2	Dec 2012	No	Yes	Auto	
		2.3	Sep 2018	No	Yes	Auto	
Contract Enquiry Whole of Life Single & Multi Valuation	XML	2.0	Jul 2005	No	No	Auto	Standard mechanism for Advisers to request valuation information on existing Whole of Life contracts from a Product Provider. Can be for single or multiple contracts, for contract references only, or for contract details.
		2.1	Oct 2009	No	No	Auto	
		2.2	Jun 2018	No	No	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Contract Enquiry Wrap Single & Multi Valuation	XML	1.1 (Single & Multi)	May 2014	Yes	n/a	n/a	Standard mechanism for Advisers to request valuation information on existing Wrap contracts from a Product Provider. Note: the messages themselves are in Maintain Contract Summary Details / Provide Contract Valuation
		1.2 (Single & Multi)	Feb 2016	Yes	n/a	n/a	
		1.3 (Single & Multi)	Jan 2019	Yes	n/a	n/a	
Maintain Contract Summary Details	XML	1.0	Jan 2010	Yes	Yes	Auto	Standard mechanism for Advisers to request valuation information on existing Wrap contracts from a Product Provider.
Maintain Personal Fact Find	XML	3.0	Sep 2015	Yes	No	Auto	The Maintain Personal Fact Find service allows an Adviser, Back Office System, Portal, Third Party or Product Provider to exchange data relating to a Personal Fact Find for a particular client.
		3.1	Apr 2017	Yes	No	Auto	
		3.2	Apr 2020	Yes	No	Auto	
Maintain Publish and Subscribe	XML	1.0	Oct 2018	Yes	Yes	Auto	A generic standard which can be used in a number of business scenarios to facilitate subscription to the publication of content from a publisher at regular intervals.
Money Laundering	XML	1.0	Apr 2004	No	No	Auto	A component of the New Business Standard and is used to confirm that the Adviser has undertaken Money Laundering checks with the client.
New Business Annuities	XML	2.1	July 2015	Yes	Yes	Auto	A Standard mechanism for a New Business application relating to an Annuity product to be sent to a Product Provider.
		2.2	Mar 2018	Yes	Yes	Auto	
		2.3	Apr 2020	Yes	Yes	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
New Business Bonds	XML	3.6	Jan 2012	No	No	Trad	A Standard mechanism for a New Business application relating to a Bonds product to be sent to a Product Provider.
		3.7	Jun 2012	No	No	Trad	
		3.8	Apr 2020	No	No	Trad	
New Business Collective Investments	XML	3.6	Jan 2012	No	No	Trad	A Standard mechanism for a New Business application relating to a Collective Investment product to be sent to a Product Provider.
		3.7	Jun 2012	No	No	Trad	
		3.8	Apr 2020	No	No	Trad	
New Business Endowments	XML	3.6	Jan 2012	No	No	Trad	A Standard mechanism for a New Business application relating to an Endowment product to be sent to a Product Provider.
		3.7	Jun 2012	No	No	Trad	
		3.8	Apr 2020	No	No	Trad	
New Business Group Pensions	XML	3.7	Jun 2012	No	No	Trad	A Standard mechanism for a New Business application relating to a Group Pensions product to be sent to a Product Provider.
		3.8	July 2015	No	Yes	Trad	
		3.9	Apr 2020	No	Yes	Trad	
New Business Individual Pensions	XML	3.7	Jun 2012	No	No	Trad	A Standard mechanism for a New Business application relating to an Individual Pensions product to be sent to a Product Provider.
		3.8	July 2015	No	Yes	Trad	
		3.9	Apr 2020	No	Yes	Trad	
New Business Protection	XML	3.8	July 2015	No	Yes	Trad	A Standard mechanism for a New Business application relating to a Protection product to be sent to a Product Provider.
		3.9	Jun 2017	No	Yes	Trad	
		3.10	Apr 2020	No	Yes	Trad	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
New Business Tracking	XML	2.0.1	Mar 2008	No	Yes	Auto	Enables Advisers to have an electronic method of keeping up to date with the progress of New Business Applications. Advisers are proactively informed by the Product Provider of the Contract Reference and whenever there is a status change, or there is a change in status of any one of the pieces of additional information associated with the proposal.
		2.1	Jan 2012	No	No	Auto	
		2.2	Jul 2012	No	No	Auto	
Provide Contract Valuation	XML	1.1 (Single & Multi Wrap)	May 2014	Yes	No	Auto	Standard mechanism for Advisers to request valuation information on existing Wrap contracts from a Product Provider.
		1.2 (Single & Multi Wrap)	Feb 2016	Yes	Yes	Auto	
		1.3 (Single & Multi Wrap)	Jan 2019	Yes	Yes	Auto	
Provide Investment Switch and Redirect Notification List	XML	1.0	Jan 2017	Yes	Yes	Auto	Standard mechanism for the exchange of Investment Switch and Redirect Notifications between Product Providers and Back Office Systems Suppliers/Trusted Third Parties.
		1.1	Apr 2020	Yes	Yes	Auto	
Provide New Business Details Confirmation	XML	1.0	Apr 2020	Yes	Yes	Auto	Standard mechanism allowing the reporting by Product Providers to Advisers and other distributors of the content of New Business submissions at the point they are received by the Provider.
Provide Transaction History	XML	1.0 (Single & Multi Wrap)	Dec 2015	Yes	Yes	Auto	Standard mechanism for the exchange of transaction history information (relevant asset transactions, corporate actions, cash account movements and charges) between Product Providers and Back Office Systems Suppliers/Trusted Third Parties for one or more Wraps.

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Quotes Annuities	XML	3.8	July 2015	No	Yes	Trad	A Standard mechanism for an Annuity Product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.9	Mar 2018	No	Yes	Trad	
		3.10	Apr 2020	No	Yes	Trad	
Quotes Bonds	XML	3.6	Jan 2012	No	No	Trad	A Standard mechanism for a Bond product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.7	Jun 2012	No	No	Trad	
		3.8	Apr 2020	No	No	Trad	
Quotes Endowments	XML	3.6	Jan 2012	No	No	Trad	A Standard mechanism for an Endowment product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.7	Jun 2012	No	No	Trad	
		3.8	Apr 2020	No	No	Trad	
Quotes Group Pensions	XML	3.7	Jun 2012	No	No	Trad	A Standard mechanism for a Group Pensions product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.8	July 2015	No	Yes	Trad	
		3.9	Apr 2020	No	Yes	Trad	
Quotes Individual Pensions	XML	3.7	Jun 2012	No	No	Trad	A Standard mechanism for an Individual Pensions product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.8	July 2015	No	Yes	Trad	
		3.9	Apr 2020	No	Yes	Trad	
Quotes New Business Domain	XML	3.6	Jan 2012	No	No	Trad	Contains common data and information required for the Quotes and New Business Standards.
		3.7	Jun 2012	No	No	Trad	
		3.8	Feb 2014	No	No	Trad	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Quotes Protection	XML	3.8	July 2015	No	Yes	Trad	A Standard mechanism for a Protection product Quotation request and response to be sent between and Adviser and a Product Provider.
		3.9	Jun 2017	No	Yes	Trad	
		3.10	Apr 2020	No	Yes	Trad	
Receive Group Scheme Contribution List	XML	1.0	May 2014	Yes	Yes	Auto	Provides contribution collection information (payment schedules and/or remittance advice) to the Scheme.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive Group Scheme Contribution Cessation List	XML	1.0	May 2014	Yes	Yes	Auto	Provides details to the Scheme of temporary and permanent cessation of contributions, including opt-out information.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive Group Scheme Contribution Refund List	XML	1.0	May 2014	Yes	Yes	Auto	Supports details of contribution refunds being paid in respect of either opt-outs or other scheme-valid reason.
	CSV	1.0	May 2014	Yes	Yes	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Receive AE Assessment List	XML	1.0	May 2014	Yes	Yes	Auto	This Standard supports the data required for Auto Enrolment Assessment.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE Assessed Employee List	XML	1.0	May 2014	Yes	Yes	Auto	A Standard to support the reporting of Auto Enrolment Assessment outcomes.
	CSV	1.0	May 2014	Yes	Yes	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Receive AE Assessed Employees For Enrolment List	XML	1.0	May 2014	Yes	Yes	Auto	This Standard provides outcomes of Auto Enrolment Assessment for those operating enrolment activity.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE Expanded New Member Data List	XML	1.0	May 2014	Yes	Yes	Auto	A Standard supporting the enrolment of new members where scheme defaults for contributions and/or investment choices are not to be applied.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE New Member Data List	XML	1.0	May 2014	Yes	Yes	Auto	A Standard supporting data required by schemes to enrol new members where scheme default contributions and investment choices are to be applied.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE Opt Out Opt In Joiner List	XML	1.0	May 2014	Yes	Yes	Auto	A Standard to allow AE Service Providers to report outcomes to employers.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE Postponement Estimation List	XML	1.0	May 2014	Yes	Yes	Auto	This Standard supports the data required for Auto Enrolment postponement, and the Estimation model of assessment.
	CSV	1.0	May 2014	Yes	Yes	Auto	
Receive AE Payroll Single Update List	XML	1.0	May 2014	Yes	Yes	Auto	A Standard supporting provision to payroll of contribution changes (new member; increases; decreases; cessations) which may impact payroll deduction.
	CSV	1.0	May 2014	Yes	Yes	Auto	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Remuneration Statement	XML	1.0 1.1	Feb 2012 Jun 2012	Yes Yes	No Yes	Auto Auto	Sent by a Product Provider and contains a breakdown of remuneration payments (Adviser Charges, Consultancy Charges and Commission) made to an adviser firm.
Switches and Redemptions	XML	1.0	Apr 2003	No	No	Trad	<p>An instruction relating to a Collective Investment product for:</p> <p>Switching – where an existing investor may wish to re-allocate their investment between Funds (from the same provider and/or fund family). The instruction would be to redeem some or all of the Units currently held in one or more Funds and reinvest the proceeds into Units in one or more new Funds.</p> <p>Redemption – where an existing investor wishes to realise some or all of their investment in one or more Funds (from the same Provider and /or Fund family). The investor may wish the Fund Manager to retain the proceeds or remit them to the Investor.</p> <p>Note: this Standard is no longer available on the Standards website, as it is no longer officially supported by Criterion.</p>
Generic Business Standards (Flexible Integration Toolkit)							
Flexible Integration Toolkit (pre-pop)	XML	3.0 3.1 3.2	Sep 2015 Apr 2017 Apr 2020	n/a n/a n/a	n/a n/a n/a	n/a n/a n/a	<p>Overview and information related to the Flexible Integration Toolkit Standard. Includes various documents relating to Pre-Population, such as Problem Definition Report and Business Requirements.</p> <p>Note: The versioning of each FIT pattern within each version of FIT is documented in the document FlexibleIntegrationToolkitOverview.pdf that is published as part of the FIT Standard.</p>
Generic Data / Maintain Generic Data	XML	2.1 2.2 2.3	Sep 2015 Apr 2017 Apr 2020	Yes Yes Yes	No No No	Auto Auto Auto	Re-usable Data Pattern component relating to information about Generic Data, and corresponding 'Maintain' Standard used to pre-populate and re-populate this data between source and receiving systems.

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Personal Fact Find	XML	3.0	Sep 2015	No	No	Auto	Re-usable Data Pattern component relating to information about a Personal Fact Find. The corresponding 'Maintain' Standard is listed under the Business Process specific Standards.
		3.1	Apr 2017	No	No	Auto	
		3.2	Apr 2020	No	No	Auto	
Common Standards							
Common Schema Package – MTG	XML	1.3	Nov 2004	n/a	n/a	n/a	This package contains several common components covered elsewhere in this catalogue and listed here for completeness.
Data Types Library	XML	1.4.1	Jun 2012				
SOAP message header	XML	1.0					
Money Laundering	XML	1.0					
W3C XML Digital signature	XML	n/a					
Foundation Business Services and Governance	n/a	1.0	Dec 2007	n/a	n/a	n/a	Documents describing the Criterion approach of Foundation Business Services (FBS) which form the functional components of a Service Oriented Architecture (SOA). This approach identifies core services covering discrete business functions and develops a framework and approach for development of these services, as and when they are required, for use across the business processes of the Financial Services industry.
Legal Framework	n/a	2.0	Jan 2006	n/a	n/a	n/a	The Legal Framework helps with the sign-up process for eServices and is an arrangement between Provider, Intermediary and one or more Third Party Service Providers. The Standard covers security and contractual issues surrounding the electronic transfer of data between them. The Legal Framework saves parties time by not having to negotiate individual contracts and creates certainty and consistency around the eServices.
		3.0	Dec 2010				
		4.0	Jan 2018				

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Legal Framework Adviser Not Present	n/a	1.0 2.0	Aug 2015 Jan 2018	n/a	n/a	n/a	<p>The Advisor Not Present module of Legal Framework deals with security and contractual issues surrounding the electronic transfer of a Customer's data between a Product Provider (PP) and a third-party Customer-facing Service Provider (CSP) that controls the technical interface with the Customer and requests data from (and submits data to) the PP on the instruction of the Customer.</p> <p>The Advisor Not Present Legal Framework anticipates that there is no Adviser involved at message time, even if the subject of the messaging is advised business.</p>
Legal Framework Auto Enrolment	n/a	1.0 2.0	Aug 2015 Jan 2018	n/a	n/a	n/a	<p>This module of the Legal Framework supports AE-driven models of data transfer where an Auto Enrolment Solutions Provider (AESP) is responsible for carrying out the data transfers between the Employer and the Product Provider (or Scheme Provider).</p> <p>The Auto Enrolment Legal Framework assumes that only one AESP will be involved in the electronic transmission of data from the Employer to the Scheme Provider and vice versa.</p>
Occupation Codes List	XML & CSV	1.0 2.0	Feb 2002 May 2010	No	Yes	n/a	A Standard list of Occupation Codes and Descriptions.
Process Architecture	n/a	1.0	Apr 2003	n/a	n/a	n/a	The Process Architecture provides a representation - from a business and a solution perspective - of end to end processes carried out within the life, pensions and investment industry that involve communication between different organisations.
Product Type Definition	PDF	1.0.1	Mar 2008	n/a	n/a	n/a	A document that defines the rationale behind the Standard Product Type and Sub Type definitions used within the Criterion Standards. Product Types and Sub Types are the main data items that define a protection, pension or investment product.
Standard Data Types	XML XML	1.4.1 2.0	Nov 2004 Feb 2008	n/a n/a	n/a n/a	n/a n/a	Where data elements and attributes within the Criterion Message Standards may only contain values from a prescribed list, the Standard Values List contains the allowable values.

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
TECHNICAL STANDARDS							
Extranet Linking	XML	1.0	Aug 2002	n/a	No	n/a	A standard process that defines how Portals and Third Parties link to Product Provider Extranets. Includes process documentation describing how a Third Party can re-direct an IFA user to a Product Provider extranet providing single sign-on and pre-population of data to the extranet.
		1.1	Jun 2008	n/a	Yes	Auto	
		1.2	Sep 2015	n/a	Yes	Auto	
HTTP Message Transmission	XML	1.3	Dec 2002	n/a	Yes	n/a	Defines the envelope that the business data of a Criterion message may be contained within. It describes the information and format of the messages as they are sent from sender to receiver using the HTTP POST and HTTP GET methods that contain data in XML format. This Standard intends to be independent of any specific business process. The business data content of a message is subordinate and wholly contained within a standard XML structure.
		2.0	Nov 2004	n/a	Yes	n/a	
		2.1	Jun 2012	n/a	Yes	n/a	
		2.2	Feb 2018	n/a	Yes	n/a	
Receive External Alert	XML	1.2	Jun 2012	Yes	No	Auto	A generic service which is used to inform the recipient of a request message that some action or process has taken place. As part of the service interface definition there exists an enumeration of actions/processes which can be appended with further choices as and when these are required. Currently the supported choices are "part save", "application completed", "application numbered", "documentation retrieved" and "process completed".
		1.3	Feb 2014	Yes	No	Auto	
		1.4	Sep 2015	Yes	No	Auto	
Remote Publishing	XML	2.0	Dec 2002	n/a	Yes	n/a	Remote Publishing provides a common and standard way to distribute documents to customers, across all Service Providers and Software Suppliers. In addition, it can be used for publishing documents on internal (intranet) and external (extranet) websites.
		3.0	Oct 2006	n/a	Yes	n/a	
		3.1	Feb 2018	n/a	Yes	n/a	

NAME	FORMAT	VERSION	DATE	FBS	SAMPLE	MIG	DESCRIPTION
Retrieve Documentation	XML	1.0 1.1	Oct 2009 Sep 2015	Yes Yes	No No	Auto Auto	A generic service which is used to request documentation from a Product Provider. The service provides one operation which will retrieve one or more documents for a known document reference (uniquely identifying the document or set of documents). The format used is at the Product Provider's discretion and will be indicated in the response. Document references are supplied in a separate previous message exchange, for example using the SIPP/IDPR New Business Application service. The service definition is designed to be implemented with either SOAP based web services or the Criterion Message Transmission Guidelines (MTG).
Security Solution Guidelines	XML	1.0	Oct 2003 – Oct 2012	n/a	Yes	n/a	Describes the terminology and outlines the messaging scenarios that are used in Criterion messaging Standards. Its purpose is to provide a common reference document that can be used as the basis for any discussion with regards to security.
SOAP Web Services	XML	1.0	Jun 2012	Yes	Yes	n/a	Defines a design approach to implementing web services using Extensible Markup Language (XML), SOAP, Web Services Definition Language (WSDL) and the standard Web Service (WS-*) specifications, with message structures defined using XML Schema. Web Services can be defined as self-contained, modular applications that can be described, published, located, and invoked over a network, generally, the World Wide Web. Typically Web Services involve application to application communication, not person to application as in the case of the Web. Supersedes the HTTP Message Transmission Guidelines.